



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/741,119	
	Filing Date	12/20/2000	
	First Named Inventor	Jerome H. Simon	
	Group Art Unit	2875	
	Examiner Name	Bertrand	
Total Number of Pages in This Submission	8	Attorney Docket Number	04870-P22US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input type="checkbox"/>
Remarks		Other - Return Receipt Post Card

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Harvey Kaye
Signature	
Date	04/03/2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 04/03/2003	
Typed or printed name	Harvey Kaye
Signature	
Date	04/03/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
APR 14 2003
TECHNOLOGY CENTER 2800



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 460)

Complete if Known

Application Number 09/741,119

Filing Date 12/20/2000

First Named Inventor Jerome H. Simon

Examiner Name Bertrand

Art Unit 2875

Attorney Docket No. 04870-P22US

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

03-2410

Perkins, Smith & Cohen

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1001	2001	740	370	Utility filing fee	
1002	2002	330	165	Design filing fee	
1003	2003	510	255	Plant filing fee	
1004	2004	740	370	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	0 - 20** = 0	0	0
Multiple Dependent Claims	0 - 3** = 0	0	0

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	84	42	Independent claims in excess of 3
1203	2203	280	140	Multiple dependent claim, if not paid
1204	2204	84	42	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	65	Non-English specification	
1812	2812	2,520	1,260	For filing a request for ex parte reexamination	
1804	2804	920*	460*	Requesting publication of SIR prior to Examiner action	
1805	2805	1,840*	920*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	400	200	Extension for reply within second month	
1253	2253	920	460	Extension for reply within third month	460
1254	2254	1,440	720	Extension for reply within fourth month	
1255	2255	1,960	980	Extension for reply within fifth month	
1401	2401	320	160	Notice of Appeal	
1402	2402	320	160	Filing a brief in support of an appeal	
1403	2403	280	140	Request for oral hearing	
1451	2451	1,510	755	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,280	640	Petition to revive - unintentional	
1501	2501	1,280	640	Utility issue fee (or reissue)	
1502	2502	460	230	Design issue fee	
1503	2503	620	310	Plant issue fee	
1460	2460	130	65	Petitions to the Commissioner	
1807	2807	50	25	Processing fee under 37 CFR 1.17(a)	
1806	2806	180	90	Submission of Information Disclosure Stmt	
8021	28021	40	20	Recording each patent assignment per property (times number of properties)	
1809	2809	740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	740	370	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	740	370	Request for Continued Examination (RCE)	
1802	2802	900	450	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 460)

SUBMITTED BY

Name (Print/Type) Harvey Kaye

Signature

Registration No. 18,978
Attorney/Agent

(Complete if applicable)

Telephone 617-854-4000

Date 04/03/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

12/18
4/14/03
J. Antea

Applicant: Jerome H. Simon
Ser. No: 09/741,119
Filed: 12/20/2000
For: Optical Configurations For Distributing Radially Collimated Light
Art Unit: 2875
Examiner: Bertrand Zeade
Atty Dkt: 04870-P22US

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE B

RECEIVED
APR 14 2003
TECHNOLOGY CENTER 2800

In response to the Office Action of October 3, 2002, kindly amend the application as follows:

IN THE CLAIMS:

Kindly amend the claims as follows:

30. (amended) A lighting assembly, comprising:
- a quasi point light source;
 - a radially collimating ring lens only partially surrounding said light source;
 - a refracting ring partially surrounding said ring lens and having an inner surface and an outer surface, the outer surface being formed into a multiplicity of zones, at least some of said zones having multiple lenses therein, the lenses of